

HOUSE OF REPRESENTATIVES
COMMITTEE TO INVESTIGATE THE ADMINISTRATION OF JUSTICE
Commonwealth of Pennsylvania
Harrisburg, Pennsylvania

MEMORANDUM

By Dale S. Thompson

Date May 23, 1974

FILE: Governor's Justice Commission

During the course of the investigation concerning the Governor's Justice Commission, correspondence was obtained from many outside sources which indicated that at some point during the course of the various grants, the Governor's Justice Commission had asked for refunds after the grants have been completed. Efforts were made to determine that these refunds were indeed returned to the Commission and, in turn, properly accounted for to LEAA.

The first schedule and related correspondence (attached) list 14 refunds which were made by the grantees to the Governor's Justice Commission. These refunds were traced into the State of Pennsylvania cash account as maintained by the State Treasurer. In addition, they were traced to the respective ledger accounts maintained at the Governor's Justice Commission. It was further determined that these refunds were credited to the proper year's appropriation and subsequently returned to LEAA as lapsed funds.

The second attached schedule and related correspondence reflect that, although at one point during the course of the grant a refund was requested, subsequent appropriate actions determine that refunds were not due from the grants.

Generally, the records at the Governor's Justice Commission are well documented and maintained. It is concluded, based on this limited but independent check, that refunds are properly deposited into the General Fund account of the state and, in turn, properly accounted for to LEAA.

At the Commission, a so-called "refund ledger" is maintained. This identifies potential refunds even though at some point in time it may be determined that in fact no refund is due.

Governor's Justice Commission - 2
May 23, 1974

An examination of this ledger indicates that collection efforts in connection with four potential refunds have been less than vigorous. There is attached a listing of three grants for which there have been no documented follow-up collection efforts since July 1973. In addition, the correspondence pertaining to Grant No. PH-005-70A, as reflected in the above referred to second schedule, indicates that no documented evidence of collection of a \$17,800 refund has been made since July 27, 1973.

In discussing collection efforts with THOMAS BERARD and HAROLD BRESSLER of the Commission, they indicated that all collection efforts are not documented and, in fact, efforts have been made to collect all refunds, including the above; however, their comments were not specific and it is believed that probably no collection efforts have been made since July 1973 on these grants because they temporarily fell between the crack. It is likely, based upon the system employed at the Commission (listing all potential refunds), collection efforts would have been subsequently followed up.

Department of Justice
Comptroller's Office
4/74

LEAA Subgrants
Refunds Received

	1	2	3	4	
	Subgrant No.	Amount of Refund	Date of TOA-419 Form	Refund No. *	Treasury Date Rec. No.
1	SE-76-70A	27800 ⁿ	8-13-73	67957	8-17-73
2	PH-44-71A	✓ 188500 ⁿ	8-13-73	67957	# 10415
3	SE-53-70A	✓ 31300 ⁿ	8-13-73	67957	* 10415
4	SE-76-70A	✓ 27800 ⁿ	8-13-73	67957	# 10415
5	PH-34-71A	✓ 183030 ⁿ	7-10-73	67943	# 71713
6	SW-89-70A	✓ 103200 ⁿ	6-1-73	67899	# 69570
7	PH-27-71A	✓ 72740 ⁿ	6-1-73	67899	# 69570
8	PH-07-70A	✓ 803200 ⁿ	5-1-73	67844	# 53713
9	CT-153-71A	⊗ ✓ 150300 ⁿ	4-1-73	67756	# 42463
10	70-DF-444	⊗ ✓ 71194 ⁿ	4-12-72	57254 to LEAA	# 54103
11	PH-002-69A	✓ 2615429 ⁿ	2-2-73	67623	2-7-73
12	SW-137-71A	✓ 3100 ⁿ	1-15-73	278	# 4125
13	SW-42-70A	✓ 11570 ⁿ	11-15-72	178	* 36924
14	CT-153-71A	⊗ ✓ 480200 ⁿ	11-15-72	178	# 25449
15	PH-28-70A	✓ 33911 ⁿ	4-1-74	91993	11-20-72

⊗ Two Refunds Required

- n - Traced to appropriate General Ledger % of S.I.C.
 - ⊗ - GJC letter of 4-26-73 to Leaa returning \$146,709.79 & giving details re grant numbers
 - ✓ - Grant file at S.I.C. examined.
 - * - OA Form 419 "Refund of Expenditures"
 - n - Traced to Comptroller's copy of OA 419 returned from Treasurer's office indicating deposit date in bank.
 - ⊗ - This refund included in payment of \$146,709.79 to LEAA for lapsed funds on 4-24-73. OA 419 Form not readily available
- Appropriation Symbol Numbers D.S.T.

01 - 11 - 93

- 01 - means General Funds of State
- 11 - Dept of Justice
- 93 - Restricted Fund - Leaa Grants.